



# Haverling

LONDON BOROUGH

## AUDIT COMMITTEE AGENDA

**7.30 pm**

**Thursday  
28 February 2013**

**Town Hall, Main Road,  
Romford**

Members 6: Quorum 3

### **COUNCILLORS:**

**Conservative Group  
( 4)**

**Residents' Group  
( 1)**

**Labour Group  
( 1)**

**Independent  
Residents' Group  
( 0)**

Georgina Galpin  
(Chairman)  
Frederick Osborne  
(Vice-Chair)  
Roger Ramsey  
Frederick Thompson

Clarence Barrett

Denis Breading

**For information about the meeting please contact:**

**James Goodwin 01708 432432**

**email: [james.goodwin@haverling.gov.uk](mailto:james.goodwin@haverling.gov.uk)**

## AGENDA ITEMS

### 1 CHAIRMAN'S ANNOUNCEMENTS

The Chairman will announce details of the arrangements in case of fire or other events that might require the meeting room or building's evacuation.

### 2 APOLOGIES FOR ABSENCE AND ANNOUNCEMENT OF SUBSTITUTE MEMBERS

(if any) – received.

### 3 DISCLOSURE OF PECUNIARY INTERESTS

Members are invited to declare any pecuniary interest in any of the items on the agenda at this point of the meeting.

*Members may still disclose any pecuniary interest in any item at any time prior to the consideration of the matter.*

### 4 MINUTES OF THE MEETING (Pages 1 - 6)

To approve as correct the minutes of the meeting held on 4 December 2012 and authorise the Chairman to sign them.

### 5 EXTERNAL AUDIT PLAN 2012/13 (Pages 7 - 32)

Report attached.

### 6 2011/2012 AUDIT REPORT OF GRANT CLAIMS AND RETURNS (Pages 33 - 52)

Report attached.

### 7 CHILDREN'S CENTRE FOLLOW UP (Pages 53 - 58)

Report attached.

**8 CLOSURE OF ACCOUNTS 2012/13** (Pages 59 - 64)

Report attached.

**9 INTERNAL AUDIT DRAFT STRATEGY AND PLAN FOR 2013/14** (Pages 65 - 78)

Report attached.

**10 INTERNAL AUDIT PROGRESS REPORT - QUARTER 3, 2012** (Pages 79 - 96)

Report attached.

**11 FRAUD PROGRESS REPORT - QUARTER 3, 2012** (Pages 97 - 108)

Report attached.

**12 ANNUAL REPORT OF FRAUD AND CORRUPTION** (Pages 109 - 122)

Report attached.

**13 ANNUAL REVIEW OF AUDIT COMMITTEE EFFECTIVENESS** (Pages 123 - 138)

Report attached.

**14 URGENT BUSINESS**

To consider any other item in respect of which the Chairman is of the opinion, by reason of special circumstances which shall be specific in the minutes that the item should be considered at the meeting as a matter of urgency.

**15 EXCLUSION OF THE PUBLIC**

To consider whether the public should now be excluded from the remainder of the meeting on the grounds that it is likely that, in view of the nature of the business to be transacted or the nature of the proceedings, if members of the public were present during those items there would be disclosure to them of exempt information within the meaning of paragraph 3 of Schedule 12A to the Local Government Act 1972; and, if it is decided to exclude the public on those grounds, the Committee to resolve accordingly on the motion of the Chairman.

**16 TREASURY MANAGEMENT - QUARTER 3, 2012-13** (Pages 139 - 144)

Report attached.

**17 RISK BASED VERIFICATION** (Pages 145 - 164)

Report attached.

**Ian Buckmaster  
Committee Administration &  
Member Support Manager**